

Structural and magnetic aspects of the nanotube system $\text{Na}_{2-x}\text{V}_3\text{O}_7$

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We present experimental results of low-temperature x-ray synchrotron diffraction, neutron-scattering, and very low-temperature (mK range) bulk measurements on the nanotube system $\text{Na}_2\text{V}_3\text{O}_7$. The crystal structure determined from our data is similar to the previously proposed model [P. Millet *et al.*, *J. Solid State Chem.* **147**, 676 (1999)], but also deviates from it in significant details. The structure comprises of nanotubes along the c axis formed by stacking units of two V rings buckled in the ab plane. The space group is $P\bar{3}$ and the composition is nonstoichiometric, $\text{Na}_{2-x}\text{V}_3\text{O}_7$, $x=0.17$. The thermal evolution of the lattice parameters reveals anisotropic lattice compression on cooling. Neutron-scattering experiments monitor a very weak magnetic signal at energies from -20 to 9 meV. Magnetic susceptibility, specific-heat measurements, and decay of remanent magnetization in the 30 – 300 mK range reveal that the previously observed transition at ≈ 76 mK is spin-glass like with no long-range order. Presented experimental observations do not support models of isolated clusters but are compatible with a model of odd-legged $S=1/2$ spin tubes possibly segmented into fragments with different lengths.

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I. INTRODUCTION

The perspectives to create magnetic and electronic devices on the atomic scale motivate current research on spin clusters, chains, ladders, and other geometrical spin arrangements. Systems that accommodate such low-dimensional spin arrangements in a periodic fashion, i.e., on a lattice, are of particular interest. This is because their properties arising from individual objects and from the whole ensemble can be probed by experimental and theoretical techniques developed for crystalline solids.

One of the rare realizations of a crystalline system formed by nanotubes with periodic arrangement is $\text{Na}_2\text{V}_3\text{O}_7$: a compound first synthesized by Millet *et al.*¹ The structure is formed by VO_5 square pyramids connected via edges and apices [Fig. 1(a)]. There are four inequivalent sodium sites. One Na site is located in the middle of the nanotube and the

other three around the nanotubes. Buckled rings formed by nine V atoms (only three sites are inequivalent) have two different orientations forming slices A and B [Fig. 1(b)]. The nanotube is formed by an -A-B-A-B- arrangement along the c axis.

Extensive experimental investigations employing specific heat, ac susceptibility, and ^{23}Na nuclear-magnetic resonance by Gavilano *et al.*^{2,3} revealed puzzling magnetic properties. Those results implied a gradual reduction in the number of V^{4+} $S=1/2$ magnetic moments, which may be due to the formation of dimers with most of them in a singlet-spin ground state and a small fraction with a triplet-spin ground state. Presence of a wide range of distributions of singlet-triplet energy gaps of dimerized V moments was claimed. The total gap distribution consists at least of three groups. Two of them, which are relatively narrow, are centered at ≈ 1

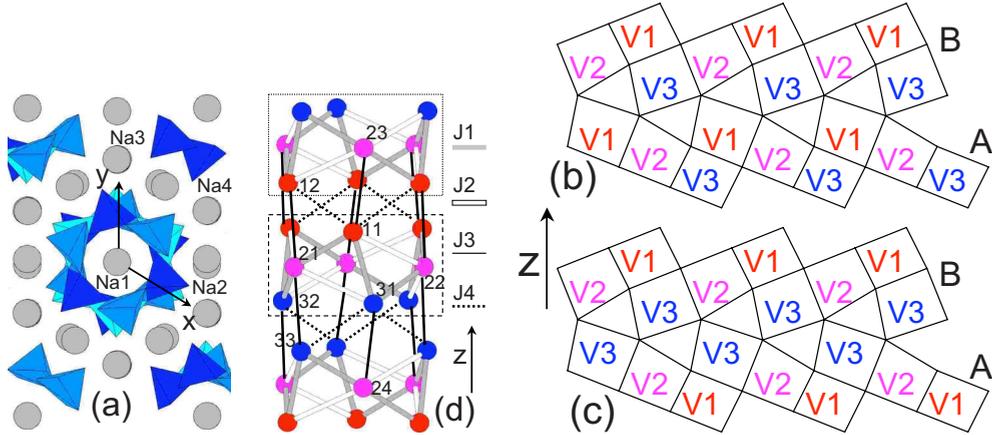


FIG. 1. (Color online) (a) [001] projection of the $\text{Na}_2\text{V}_3\text{O}_7$ structure. (b) and (c) Arrangement of VO_5 square pyramids forming buckled rings (slices A and B) within the unfolded nanotube in the model of Millet *et al.* (Ref. 1) (b) and in our model (c). (d) Four different families of exchange couplings J_1 (gray), J_2 (white), J_3 (black), and J_4 (black dashed). The three sites of vanadium are denoted by V1, V2, V3 in (b), (c). In (d) the notation 11, 12, . . . 34 is used with the first index numbering the site, the second index numbering atoms within the site. Oxygen and sodium atoms are omitted for clarity.

and 10 K and involve only 1/9 of vanadium moments. The third group that corresponds to the 8/9 part of V moments is very broad and this leads to a wide range of spin couplings. Additionally at very low temperatures ≈ 86 mK, a phase transition was observed in the temperature dependence of the ac-magnetic susceptibility $\chi_{ac}(T)$. It was interpreted as a proximity to a quantum critical point at zero field.

Several theoretical calculations of the electronic structure and exchange interactions in $\text{Na}_2\text{V}_3\text{O}_7$ delivered conflicting results. A spin dimer analysis by Whangbo and Koo⁴ led to the conclusion that $\text{Na}_2\text{V}_3\text{O}_7$ could be described by six mutually intersecting helical chains. A single helical chain has a spin gap and it is a good approximation for magnetic properties of the whole system. This is not supported by experimental results. The *ab initio* density-functional theory (DFT) calculations by Saha-Dasgupta *et al.*⁵ used the N th order muffin-tin-orbital (NMTO)-based downfolding method to obtain a tight-binding Hamiltonian for $V 3d_{xy}$ orbitals. Their microscopically derived hopping integrals resulted in antiferromagnetic [(AF) $J < 0$] intra-ring exchange integrals dominating the inter-ring ones. This led to the description of the system as weakly coupled nine-site rings with $J_1 = -200$ K and $J_2 = -140$ K intra-ring couplings. Mazurenko *et al.*⁶ performed DFT calculations with the local-density approximation (LDA) + U approach and suggested that due to the strong hybridization between filled and vacant $3d$ vanadium orbitals, the exchange interaction between V ions is dominated by strong ferromagnetic (F) inter-ring contributions, while the intra-ring exchange interactions are mainly antiferromagnetic. Their conclusion is that the resulting Heisenberg model is strongly frustrated and there is no simple way to predict the properties of the system.

The main goal of our paper is to provide, by characterizing static and dynamic, nuclear and magnetic, microscopic, and macroscopic responses, a solid experimental background necessary to derive a correct model of the magnetic exchange in $\text{Na}_2\text{V}_3\text{O}_7$.

II. EXPERIMENTAL DETAILS

The $\text{Na}_2\text{V}_3\text{O}_7$ polycrystalline samples used in this study have been prepared by a solid-state reaction method using $\text{Na}_4\text{V}_2\text{O}_7$, V_2O_3 , and V_2O_5 as described by Niitaka *et al.*⁷ The precursor $\text{Na}_4\text{V}_2\text{O}_7$ was synthesized from a mixture of NaCO_3 and V_2O_5 with a stoichiometric ratio on a gold sheet at 873 K under air and kept at 473 K before use. V_2O_3 was reduced from V_2O_5 by 7% H_2 + 93% Ar mixture gas. Under dry-Ar and pure-Ar atmosphere, the stoichiometric amount of $\text{Na}_4\text{V}_2\text{O}_7 + \text{V}_2\text{O}_3 + \text{V}_2\text{O}_5$ was well mixed and pressed into pellets. The pellets were wrapped in gold foils and loaded into a fused silica tube. The tube was subsequently sealed under vacuum and heated in a furnace at 978 K for a week. The product was checked as pure $\text{Na}_2\text{V}_3\text{O}_7$ by x-ray powder diffraction.⁸

Analysis of the crystal structure and its evolution with temperature was studied by x-ray single-crystal and powder diffraction. A black fiberlike single crystal ($10 \times 10 \times 700 \mu\text{m}$) was extracted from the polycrystalline sample. X-ray diffraction studies have been performed at the BM01A Swiss-Norwegian beamline (SNBL) of ESRF (France) at a wavelength of 0.722870 \AA and at the material science beamline (MSB) at Swiss Light Source (SLS) of Paul Scherrer Institute (PSI) (Switzerland), with $\lambda = 0.620967 \text{ \AA}$. The crystal structure has been determined from a single crystal at SNBL at three different temperatures 200, 80, and 16 K, using an image-plate area detector MAR345 and N_2 or He flow cryostats to reach low temperatures. $180^\circ \omega$ oscillation images with an increment of 1° were collected with 1 min of exposure and the crystal-to-detector distance of 180 mm (see Table I). The quality of the data at 16 K is lower than at 80 and 200 K due to a lower resolution obtained with the complex low-temperature experimental setup. The data were corrected for the Lorentz-polarization factor and for absorption. For the structure solution and refinements, the programs SHELXS and SHELXL (Ref. 9) were used.

Powder x-ray patterns were collected in a 0.5 mm quartz capillary at temperatures between 80 and 470 K with the

TABLE I. Details of single-crystal x-ray diffraction data collection and structure refinement for $\text{Na}_{2-x}\text{V}_3\text{O}_7$ at three different temperatures.

T (K)	200	80	16
a (Å)	10.8827(5)	10.8747(3)	10.8748(1)
c (Å)	9.5506(2)	9.5382(1)	9.5275(2)
V (Å ³)	979.57	976.86	975.77
$2\theta_{\text{max}}$ (deg)	59.75	59.81	42.99
R_{int} (%)	0.0182	0.0153	0.0423
Reflections collected	10485	9607	3018
Reflections unique	1744	1744	689
Restraints	0	0	18
Refined parameters	113	113	69
$R1, wR2 [I \geq 2\sigma(I)]$ %	1.24, 3.99	1.23, 3.78	1.91, 5.16
Goof on F^2	1.23	1.15	1.17
Largest diffraction peak and hole/ $e \text{ \AA}^{-3}$	0.33/−0.32	0.38/−0.33	0.31/−0.27

same setup used for the single-crystal measurements. The experiment was performed on heating, with a heating rate of 120 K/h. One exposure lasted 30 s and the capillary was rotated 30° /exposure for better powder average. For patterns recorded in the temperature range of 14–130 K, a Janis He cryostat at MSB and a microstrip detector were used with 30 s exposure. Structure refinements were performed using the Rietveld technique implemented in the FULLPROF program.¹⁰

Magnetic excitations and lattice vibrations were investigated on a 10 g polycrystalline sample. Inelastic neutron-scattering measurements were performed on the neutron time-of-flight (TOF) spectrometer FOCUS at SINQ, PSI (Switzerland) at 1.5 and 120 K. Three setups were exploited ($\lambda_j=5.75, 4.85, \text{ and } 1.7 \text{ \AA}$) to access energy-transfer ranges below 0.9, 2, and 20 meV, respectively, with optimal resolution. Contributions from an empty Al sample holder were measured and subtracted.

A powder neutron-diffraction experiment with full three-directional (XYZ) neutron polarization analysis was performed on the D7 instrument¹¹ at the Institute Laue-Langevin (ILL), France. The incident neutron wavelength 3.1 Å was selected using a pyrolytic graphite monochromator. Polarization of the incident and analysis of the scattered beam were performed using polarizing mirrors. Helmholtz coils enabled rotation of the incident neutron spins along three mutually perpendicular directions. The efficiency of the 132 detectors of D7 was determined using a standard vanadium sample, while the polarization efficiency was determined using a quartz sample with entirely non-spin-flip (NSF) scattering. The measurements were performed at 1.9, 120 (each 60 h), and 300 K (2 h). Our experimental setup allowed us to measure the response of the sample within the wave-vector range $0.5 < Q < 4 \text{ \AA}^{-1}$ and to integrate the signal over the energy interval $-\infty < E < 9 \text{ meV}$. The scattering from the sample was brought to the absolute scale using vanadium normalization. Absorption of the vanadium and the sample was taken into account.

A powder nonpolarized neutron-diffraction experiment in the mK range was performed on DMC at SINQ, PSI (Swit-

zerland), using a wavelength of $\lambda=2.457 \text{ \AA}$. The sample was mounted into the dilution insert of an ILL orange cryostat and two diffraction patterns at 40 mK and 1 K were collected.

Measurements of the susceptibility on a polycrystalline sample were done at MPICPfs Dresden in the 30 mK–3.5 K range in an impedance bridge using a superconducting quantum interference device (SQUID) as a null detector. In this arrangement, the amplitude of the ac field can be varied in fixed steps from 0.07 to 33 mOe and the frequency can be chosen from four different values between 16 and 160 Hz. The sample was placed inside the mixing chamber of a dilution refrigerator. The residual field in the cryostat was less than 20 mOe. Specific-heat measurements were performed using a quasiadiabatic method in the temperature range 60 mK–4 K at four values of applied field 0, 0.25, 0.5, and 2 T.

The decay of the remanent magnetization was measured at MPICPfs, Dresden. The sample was cooled in a field of 100 Oe from $T=200 \text{ mK}$ to the desired temperature $T < T_f$. Note that 200 mK is well above the critical temperature $T_f=86 \text{ mK}$ where a cusp in χ_{ac} occurs. After a waiting time of about 10 h, the field was removed and the relaxation of the remanent magnetization was recorded with a flux counter for about 20 000–70 000 s. After these times, the sample was heated to 200 mK and the expelled flux was recorded in order to obtain the total value of the remanent magnetization $M_{\text{rem}}=M_0-M_\infty$.

III. RESULTS

A. Crystal structure and its temperature evolution

In an attempt to understand the magnetic exchange in $\text{Na}_2\text{V}_3\text{O}_7$, we performed detailed structural investigations at low temperatures. The analysis of the 200 K x-ray single-crystal diffraction data set suggested an apparent Laue symmetry $\bar{3}m$ with mirror planes situated along the [100], [010], and [110] directions. No systematic absences of the space group $P31c$ reported by Millet *et al.*¹ were observed. The direct methods were tentatively used with the following

TABLE II. Atomic coordinates xyz and isotropic displacement parameters $U_{\text{iso}}(\times 10^2 \text{ \AA}^2)$ in $\text{Na}_{2-x}\text{V}_3\text{O}_7$ at 200 K. The space group is $P\bar{3}$, $Z=6$, and twin proportion is 49.90(9)% and 50.10(9)%. The Na1 and Na2 sites are occupied only 45.3(11)% and 51.8(11)%. Atomic parameters at 80 and 16 K can be obtained as supplementary material.

Atom	Site	x	y	z	U_{iso}
V1	6g	0.31549(3)	0.10972(3)	0.40031(3)	0.354(7)
V2	6g	0.31643(3)	0.149623(3)	0.75026(4)	0.316(6)
V3	6g	0.31391(3)	0.18514(3)	0.10094(3)	0.390(7)
Na1	1a	0	0	0	0.8(1)
Na2	1b	0	0	0.5	1.2(1)
Na3	2d	$\frac{1}{3}$	$\frac{2}{3}$	0.0701(1)	0.79(2)
Na4	2d	$\frac{1}{3}$	$\frac{2}{3}$	0.4332(1)	0.95(2)
Na5	6g	0.5074(1)	0.4805(1)	0.2492(1)	2.07(2)
O1	6g	0.4780(1)	0.1491(2)	0.4380(1)	1.02(2)
O2	6g	0.4889(1)	0.2194(1)	0.7517(2)	1.02(3)
O3	6g	0.4760(2)	0.3083(2)	0.0636(1)	1.15(3)
O4	6g	0.2475(1)	0.9508(1)	0.7091(1)	0.59(2)
O5	6g	0.2432(2)	0.0664(1)	0.9329(1)	0.65(2)
O6	6g	0.2526(1)	0.1701(2)	0.5668(1)	0.67(2)
O7	6g	0.2715(1)	0.2987(1)	0.7911(1)	0.52(2)

probable space groups $P\bar{3}1m$, $P31m$, and $P312$; but no acceptable solution was found. Therefore, the presence of $\{010\}$ twinning of the crystal with $P3$ or $P\bar{3}$ symmetry has been considered. The centrosymmetric model presented in Table II with 50% of two twin domains explained well the apparent $\bar{3}1m$ symmetry. The R values corresponding to the final refinements are presented in Table I. The anisotropic displacement parameters and occupancies of all atoms could be refined at 80 and 200 K (see Table III). For the 16 K data set, due to its lower resolution, isotropic displacement parameters were used.

Single-crystal data collected at three temperatures indicate no structural phase transition. The structure resulting from our analysis is very similar to the model proposed by Millet *et al.*¹ However, there are important differences. The arrangement of nine V atoms into the buckled ring (slice) B is the same as in the model of Millet [Figs. 1(b) and 1(c)]. But due to the absence of the glide plane in the $P\bar{3}$ space group, the slice A' is oriented differently compared to A. We identify the A'-B two-ring stacking unit as a structural building block of $\text{Na}_2\text{V}_3\text{O}_7$.

TABLE III. Anisotropic displacement parameters $U_{ij}(\times 10^2 \text{ \AA}^2)$ in $\text{Na}_{2-x}\text{V}_3\text{O}_7$ at 200 K.

Atom	U_{11}	U_{22}	U_{33}	U_{23}	U_{13}	U_{12}
V1	0.36(1)	0.40(1)	0.30(1)	-0.044(9)	-0.07(1)	0.19(1)
V2	0.28(1)	0.39(1)	0.25(1)	-0.023(9)	-0.02(1)	0.15(1)
V3	0.42(1)	0.46(1)	0.31(1)	0.011(9)	0.06(1)	0.23(1)
Na1	0.7(2)	0.7(2)	1.2(2)	0	0	0.34(8)
Na2	1.1(1)	1.1(1)	1.7(2)	0	0	0.54(7)
Na3	0.79(3)	0.79(3)	0.80(6)	0	0	0.39(2)
Na4	1.00(3)	1.00(3)	0.87(6)	0	0	0.50(2)
Na5	1.16(4)	1.56(4)	2.51(4)	-0.40(3)	-0.52(3)	-0.05(3)
O1	0.69(6)	1.39(7)	1.08(6)	-0.42(5)	-0.25(5)	0.58(5)
O2	0.54(6)	1.30(7)	0.89(5)	-0.12(5)	-0.03(5)	0.22(5)
O3	0.69(6)	1.31(7)	1.11(6)	-0.16(5)	0.16(5)	0.25(5)
O4	0.80(5)	0.41(5)	0.34(5)	-0.04(4)	0.03(4)	0.14(5)
O5	0.99(6)	0.48(6)	0.38(6)	-0.04(4)	0.11(4)	0.31(5)
O6	1.23(6)	0.77(6)	0.33(6)	-0.04(4)	0.04(5)	0.74(6)
O7	0.65(5)	0.67(6)	0.38(5)	-0.02(4)	0.02(4)	0.43(5)

TABLE IV. Shortest V-V distances (\AA) at 200, 80, and 16 K and difference Δd between the values at 16 and 200 K. The notations of Fig. 1(d) are used.

V-V distance	200 K	80 K	16 K	Δd
First intra-ring				
11-31	2.9770(4)	2.9648(4)	2.955(1)	-0.022(1)
21-32	2.9851(4)	2.9908(4)	2.996(1)	+0.011(1)
11-21	2.9917(5)	2.9976(4)	3.001(1)	+0.010(1)
Second intra-ring				
21-31	3.6109(4)	3.6008(4)	3.596(1)	-0.015(1)
11-22	3.6588(5)	3.6635(4)	3.663(1)	+0.004(1)
First inter-ring				
11-23	3.3696(5)	3.3670(4)	3.365(1)	-0.004(1)
24-31	3.3732(5)	3.3716(4)	3.369(1)	-0.005(1)
Second inter-ring				
31-33	3.5447(4)	3.5436(4)	3.545(1)	0.000(1)
11-12	3.5694(5)	3.5760(4)	3.578(1)	+0.009(1)

A detailed structural investigation resulted in precise values of the V-V distances and V-O-V angles presented in Tables IV and V. Here a second index in the notation of V atoms is introduced to simplify the comparison of numerical and graphical presentations [see also Fig. 1(d)]. Based on these values it is possible to distinguish four different fami-

TABLE V. V-O-V angles (deg) at 200, 80, and 16 K associated with the shortest V-V distances. The notations of Fig. 1(d) are used.

V-O-V angle	200 K	80 K	16 K
First intra-ring			
11-O7-31	98.01(6)	97.28(5)	97.1(1)
11-O4-31	99.56(6)	99.26(5)	98.9(1)
21-O4-32	99.13(6)	99.55(5)	99.9(1)
21-O5-32	101.31(6)	101.39(6)	101.4(2)
11-O7-21	98.19(5)	98.24(5)	98.4(1)
11-O6-21	101.86(6)	102.07(6)	102.3(2)
Second intra-ring			
21-O7-31	136.15(7)	135.41(7)	135.2(2))
11-O4-22	142.50(7)	143.41(7)	143.9(2)
First inter-ring			
11-O6-23	119.16(7)	119.02(7)	119.0(2)
24-O5-31	119.56(7)	119.48(6)	119.3(2)
Second inter-ring			
31-O5-33	132.20(7)	132.00(7)	132.0(2)
11-O6-12	133.49(7)	133.61(7)	133.5(2)

lies of effective exchange paths. They are: first and second intra-ring and first and second inter-ring ones. In a simplified model for the magnetic exchange in $\text{Na}_2\text{V}_3\text{O}_7$ each family may be represented by a single exchange coupling [J_1 - J_4 ; see Fig. 1(d)]. The first intra-ring exchange path is characterized by the average shortest V-V distance of $\approx 2.985 \text{ \AA}$ and the V-O-V angles of $\approx 99^\circ$. Thus it is the most important coupling. The second intra-ring path has rather large V-V distances ($\approx 3.63 \text{ \AA}$) and V-O-V angles of $\approx 140^\circ$. The second inter-ring path has smaller V-V distances ($\approx 3.55 \text{ \AA}$) and almost the same V-O-V angles of $\approx 132^\circ$. Therefore, based solely on the atomic arrangement, the second intra-ring and second inter-ring paths might be equally important. The first inter-ring path will certainly result in a "separate" coupling as the V-V distance is $\approx 3.37 \text{ \AA}$ and the V-O-V angles are $\approx 119^\circ$. DFT calculations⁵ performed on the crystal structure proposed by Millet *et al.*¹ identified the first and second intra-ring couplings as the most important ones and, according to these calculations, the second intra-ring and second inter-ring couplings are significantly different due to the orientation of the V orbitals. As small differences in the atomic arrangement between our model and the model of Millet can significantly change the strength and even the sign of the magnetic exchange,⁶ we revised the electronic structure of $\text{Na}_2\text{V}_3\text{O}_7$ performing DFT calculations for our model (see Sec. IV).

In the present model of crystal structure, within the vanadium-oxide nanotubes there are two inequivalent Na sites. They are only partially occupied. This leads to a non-stoichiometric composition $\text{Na}_{2-x}\text{V}_3\text{O}_7$ with $x=0.17$. The requirement of neutrality of the crystal requires that the missing positive charge is distributed on the neighboring atoms; either some amount of V^{5+} (up to 5.7%) or intermediate-valence states should be present. These minority states, if localized on V, could serve as defects introducing randomness into the V-V exchange couplings.

The displacement parameters U of all atoms including sodium are small, almost isotropic (see Table III), and decrease with temperature lowering, as in conventional materials. Therefore, the assumptions used to identify the low-frequency phonon mode at 88 cm^{-1} as the Na rattling mode¹² do not hold. Our Na^+ mean-square displacement amplitude $\langle u^2 \rangle$ is approximately six times smaller than assumed by Choi *et al.*¹² and therefore the characteristic frequency would be $\approx 174 \text{ cm}^{-1}$, which is incompatible with the observed hypothesized rattling mode at 88 cm^{-1} .

One more important outcome of our x-ray diffraction study is the temperature evolution of the lattice parameters a and c . Powder patterns reveal that the lattice compresses gradually on cooling (Fig. 2). This compression is very anisotropic, as visualized in the a/c ratio in the inset of Fig. 2. While above 300 K the expansion coefficients $\Delta a/a$ and $\Delta c/c$ lie in the range of $10^{-6}/\text{K}$, which is typical for oxides; several anomalous points in $a(T)$ and $c(T)$ are observed at lower temperatures. Near 300 K the decrease in c slows down, close to 100 K with decreasing temperature, while $a(T)$ starts to increase. Unfortunately we cannot supply microscopic details of all these changes, but we reckon that the changes near 100 K correlate with the anomaly observed in the ^{23}Na -NMR spin-lattice relaxation rate³ and the 88 cm^{-1} mode detected in optical measurements.¹²

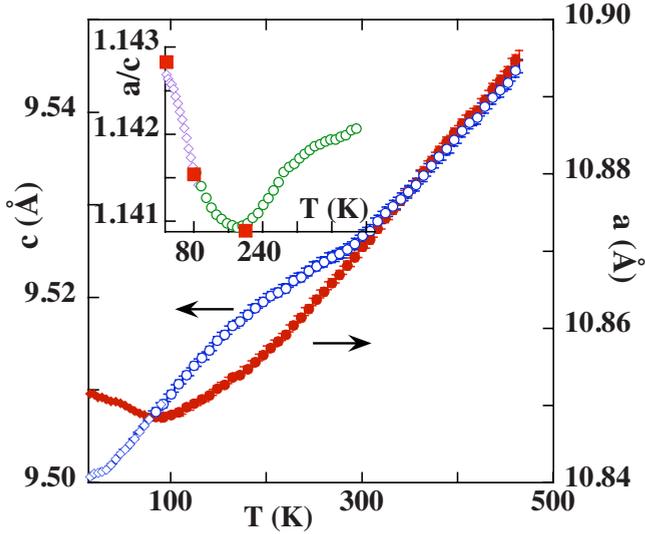


FIG. 2. (Color online) Thermal expansion of the lattice parameters a (red/gray filled symbols) and c (blue/gray empty symbols) from x-ray powder diffraction. Inset: the a/c ratio calculated from the SNBL (green/light gray \circ), SLS (violet/gray \diamond) powder patterns, and SNBL (red/gray \square) single-crystal data.

The variation in the V-V distances and V-O-V angles obtained from single-crystal refinements (Tables IV and V, and Fig. 3) allows us to conclude that the dominant tendency is a reduction in the V-V distances and V-O-V angles having the largest projection along the tubes and an increase in those having a substantial xy component.

B. Neutron scattering: Polarized diffraction and time-of-flight inelastic scattering

We performed neutron-scattering experiments to obtain information on the static and dynamic magnetic correlation functions in $\text{Na}_{2-x}\text{V}_3\text{O}_7$. This system has significant incoherent scattering σ_{incoh} due to the presence of vanadium and sodium. σ_{incoh} is comparable to the magnetic scattering of V ($\sigma_{\text{incoh}}=1.47$ barn/str. f.u.; $\sigma_{\text{mag}}=0.437$ barn/str. f.u.), additionally absorption is significant. Therefore, a polarized neutron-diffraction experiment was carried out on D7 to separate magnetic scattering from nuclear coherent, nuclear-spin incoherent, and background contributions. The paramagnetic scattering was obtained by averaging the contributions extracted from the spin-flip (SF) and non-spin-flip partial cross sections,¹³

$$\frac{d^2\sigma_{\text{mag}}}{d\Omega d\omega} = 2 \left[\frac{d^2\sigma_x^{\text{SF}}}{d\Omega d\omega} + \frac{d^2\sigma_y^{\text{SF}}}{d\Omega d\omega} - 2 \frac{d^2\sigma_z^{\text{SF}}}{d\Omega d\omega} \right],$$

$$\frac{d^2\sigma_{\text{mag}}}{d\Omega d\omega} = 2 \left[-\frac{d^2\sigma_x^{\text{NSF}}}{d\Omega d\omega} - \frac{d^2\sigma_y^{\text{NSF}}}{d\Omega d\omega} + 2 \frac{d^2\sigma_z^{\text{NSF}}}{d\Omega d\omega} \right], \quad (1)$$

where z is perpendicular to the scattering plane. Figure 4 (top) presents total, nuclear-spin incoherent, and magnetic signals from the sample. Apparently the magnetic signal is tiny. The amount of magnetic scattering summed over the measured Q range is only $\approx 15\%$ of the expected paramag-

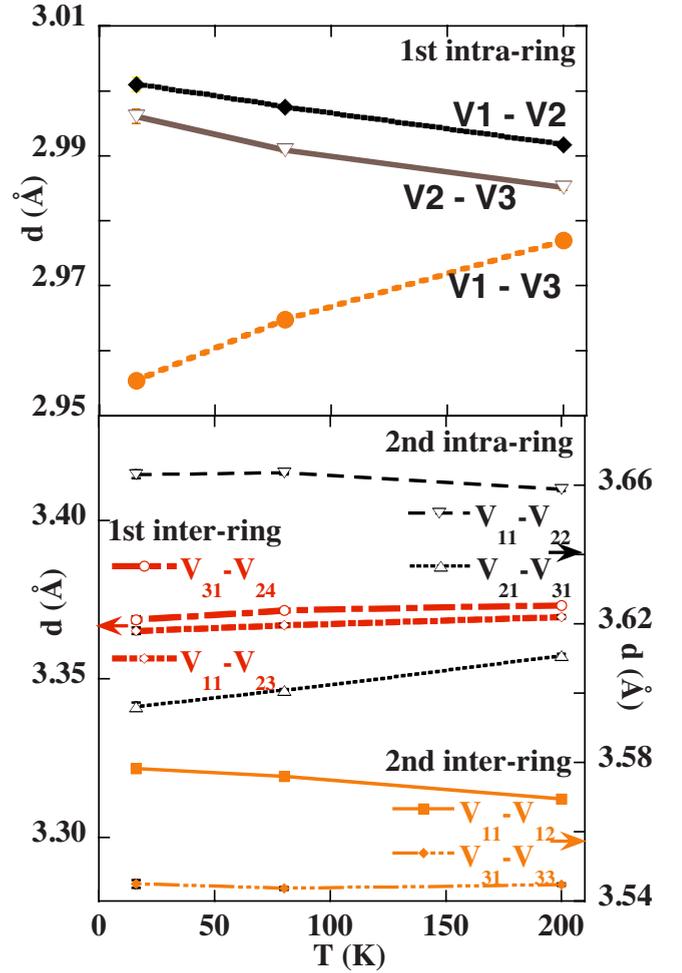


FIG. 3. (Color online) Thermal evolution of the V-V distances calculated from single-crystal x-ray diffraction at 200, 80, and 16 K. Top: first intra-ring distances, bottom: second intra-ring ($V_{11}-V_{22}$, $V_{21}-V_{31}$, black), first ($V_{31}-V_{24}$, $V_{11}-V_{23}$, red), and second ($V_{11}-V_{12}$, $V_{31}-V_{33}$, orange) inter-ring distances.

netic scattering of $\text{Na}_{2-x}\text{V}_3\text{O}_7$ formula unit (f.u.) in this Q interval calculated as

$$\sigma_{\text{mag}} = \sum_Q \frac{2}{3} N S(S+1) (r_o \gamma)^2 f^2(Q), \quad (2)$$

where $\frac{2}{3}$ results from the powder average, $N=3$ is the number of spin $S=1/2$ V^{4+} ions per f.u., and $(r_o \gamma) = -0.54 \times 10^{-12}$ cm and $f(Q)$ is the magnetic form factor of V^{4+} . The weakness of the signal caused long counting times to obtain reasonable statistics in the diffraction mode, i.e., integrating over all energy window, and it was impossible to measure the (Q, ω) distribution of the magnetic scattering in TOF mode of D7.

Figure 4 (bottom) presents magnetic contributions at 1.8, 120, and 300 K. The signals are almost equal and featureless within statistical errors. This leads to important conclusions. First, the majority of magnetic intensity remains out of the integrated energy and momentum window of our experiment shown as an inset in Fig. 4 (top). At 1.8 K dominantly elastic and neutron energy-loss events are measured, while at 120 K

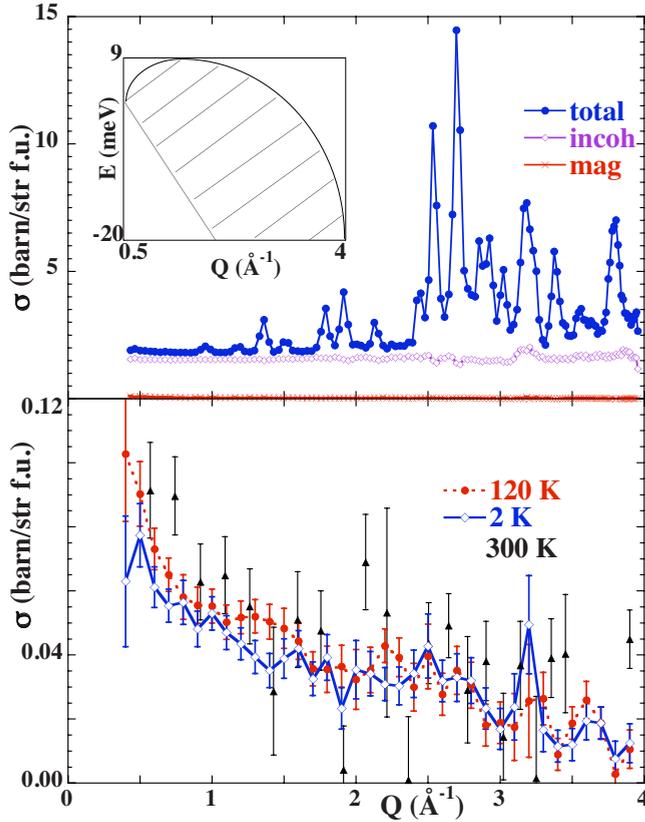


FIG. 4. (Color online) Top: total, nuclear-spin incoherent, and magnetic scattering at 120 K measured on D7 with $\lambda_i=3.1$ Å. Inset: the (Q, ω) window accessible during the experiment is shown by the dashed area. Bottom: absolute magnetic scattering at 1.8, 120, and 300 K.

additionally the neutron energy gain side up to $\approx 2kT = 20$ meV is accessible. In both measurements the magnetic scattering is very weak and it only slightly increases from 1.8 to 120 K in the low Q range, which implies presence of high-energy states becoming populated at higher temperature. The total paramagnetic scattering is not recovered even at 300 K (Figs. 4 and 5); therefore, most of the magnetic intensity is located at even higher-energy transfers.

Secondly, observation of weak magnetic intensity with no oscillation in the Q dependence disputes the presence of isolated clusters. Any isolated magnetic cluster would have a characteristic oscillation in the Q dependence, which arises due to the geometric $\frac{\sin(Q\Delta R)}{Q\Delta R}$ term in the neutron cross section,¹⁴

$$\frac{d^2\sigma_{\text{mag}}}{d\Omega d\omega} \propto f^2(Q) \sum_{j < j'}^n (|\langle \mathbf{S} || \mathbf{T}_j || \mathbf{S}' \rangle|^2) + 2 \frac{\sin(Q\Delta R)}{Q\Delta R} \langle \mathbf{S} || \mathbf{T}_j || \mathbf{S}' \rangle \langle \mathbf{S}' || \mathbf{T}_{j'} || \mathbf{S} \rangle, \quad (3)$$

where $\Delta R = |\mathbf{R}_j - \mathbf{R}_{j'}|$ is the distance between the V^{4+} ions i and j of the cluster, \mathbf{T}_j are irreducible tensor operators. Figure 5 presents the expected Q dependences for an isolated nine-member ring and an isolated dimer calculated using

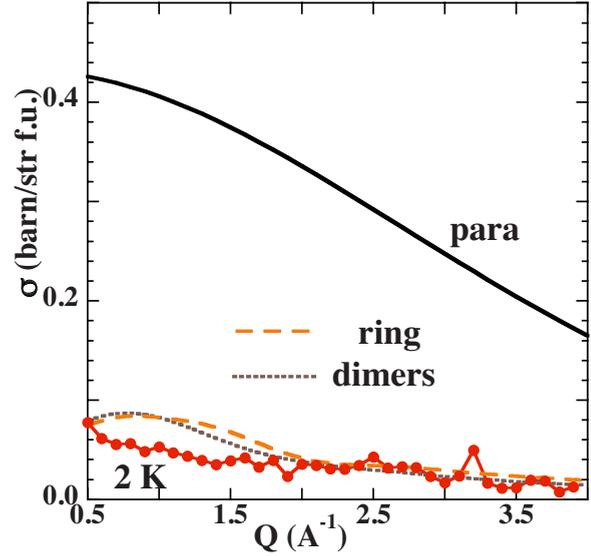


FIG. 5. (Color online) Comparison of the magnetic scattering at 2 K measured on D7 and the calculated paramagnetic signal of V^{4+} , both in absolute scale. Calculated low-lying excitations of an isolated nine-member ring and of an isolated dimer are given in arbitrary units.

programs of Weihe.¹⁵ For the nine-member ring the Hamiltonian is $H = -J_1 \sum_{i=1}^9 (\mathbf{S}_i \cdot \mathbf{S}_{i+1}) + J_2 \sum_{i,j} (\mathbf{S}_i \cdot \mathbf{S}_j)$ (for $i=2, j=4, 9$, for $i=5, j=3, 7$, and for $i=8, j=1, 6$) and the two intra-ring antiferromagnetic exchange constants are $J_1 = -160$ K and $J_2 = -90$ K; the best estimate from our present susceptibility data (see Sec. V).

The geometric term is summed over the excitations between the 16 lowest cluster levels which could contribute to the integrated energy window of the D7 experiment and ΔR 's correspond to the V-V intra-ring distances determined in this work. For the dimer the Hamiltonian is $H = -J(\mathbf{S}_1 \cdot \mathbf{S}_2)$ with the antiferromagnetic exchange constant J chosen such that the spin singlet-triplet excitation lies in the energy window of the experiment; ΔR is the average first intra-ring distance. None of the calculated Q dependences is consistent with the measured one.

Yet, our observations are compatible with the two following pictures: (i) $\text{Na}_{2-x}\text{V}_3\text{O}_7$ is composed of complex objects, i.e., spin clusters with different sizes and exchange couplings, and the Q dependence of each individual cluster is blurt out; (ii) nine-member ring spin clusters are coupled into tubes, this leads to dispersion of excitations and to smearing of oscillations in the measured energy integrated $S(Q, \omega)$. An intermediate picture, nanotubes segmented into finite fragments with different length, also explains the observations.

Inelastic neutron scattering collected at two temperatures 1.5 and 120 K (Fig. 6) on FOCUS is dominated by incoherent scattering. No distinct magnetic excitations have been observed up to 20 meV in agreement with the D7 results. The weak mode observed near 10 meV is most probably due to phonons since its intensity increases with Q and temperature (Fig. 6 inset). It is found close to the 88 cm^{-1} mode observed by optical measurements.¹² Our observations, however, do not allow us to specify which atoms (V or Na) are involved in this mode.

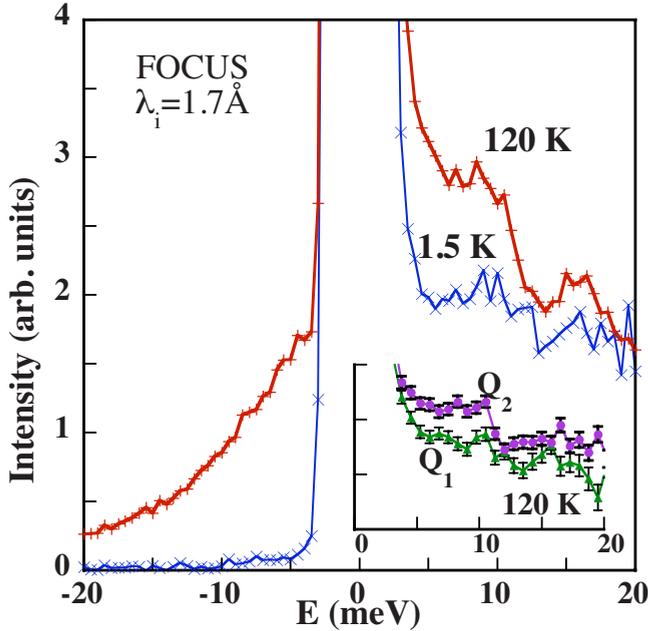


FIG. 6. (Color online) Inelastic neutron scattering (INS) spectra of $\text{Na}_{2-x}\text{V}_3\text{O}_7$ at 1.5 K and 120 K measured on FOCUS with $\lambda_i = 1.7 \text{ \AA}$. The intensity has been integrated over the momentum-transfer range $2.1 < Q < 5.7 \text{ \AA}^{-1}$. Inset: Q dependence at 120 K for $2.6 < Q_1 < 3.4 \text{ \AA}^{-1}$ and $4.1 < Q_2 < 4.9 \text{ \AA}^{-1}$.

C. Magnetic properties in the mK temperature range

1. ac susceptibility and specific heat

We performed experiments in the mK temperature range to characterize the previously found³ very low-temperature state of $\text{Na}_{2-x}\text{V}_3\text{O}_7$. First of all, our ac-susceptibility measurements confirm the sharp anomaly in $\chi(T)$ in the form of a “cusp.” The temperature dependencies of both the in-phase χ' and out-of-phase χ'' components display peaks near 76 mK (Fig. 7), which is slightly lower than the reported value.³ Although χ' has only a very weak frequency dependence, the magnitude and shape of χ'' (Fig. 7 inset) are strongly frequency dependent. In particular, the temperature where the maximum of χ' occurs decreases slightly with decreasing frequency. These observations hint for a slow dynamics of the V^{4+} moments reminiscent of spin-glass-like processes with a freezing temperature $T_f = 76 \text{ mK}$.

Although the anomaly in $\chi(T)$ at T_f signals a change in the magnetic properties of $\text{Na}_2\text{V}_3\text{O}_7$, the state below T_f is not long-range magnetically ordered. This is consistent with powder neutron diffraction. The patterns collected at 40 mK and 1 K were identical; no magnetic reflections occurred at low temperature.

Figure 8 inset displays the low-temperature part of the magnetic specific heat C_m obtained by subtracting the lattice contribution from the measured signal.¹⁶ $C_m(T)$ shows no clear features near T_f . This important result shows that the number of degrees of freedom involved in the spin-freezing phenomenon must be rather small compared to the number of V^{4+} ions. The second important feature is a broad Schottky-type feature near 0.4 K, which shifts to higher temperatures with applied field. Approximately 1/13 of the mag-

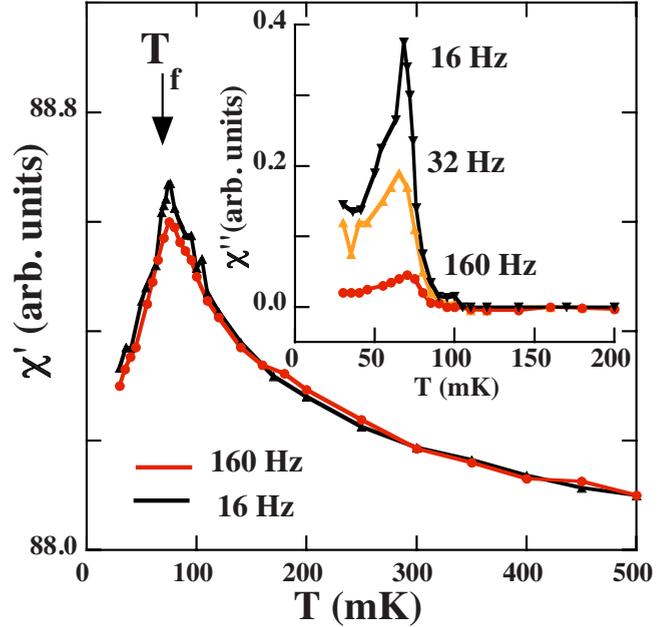


FIG. 7. (Color online) Temperature and frequency dependence of real χ' and imaginary χ'' components of ac susceptibility in mK temperature range.

netic entropy is associated with this feature. It is worth to recall that there is also a broad feature at 5 K, where at most 1/9 of the magnetic entropy is released as found previously.³

Finally, there is a strong upturn in C_m/T with decreasing temperature below 0.15 K (Fig. 8). This upturn is well represented by $C_N/T = D/T^3$. D is of the order of $2 \times 10^{-4} - 4 \times 10^{-4} \text{ [J(mol f.u. K)}^{-1}]$ and C_N is only weakly field dependent. Paramagnetic impurities (i.e., only weakly interacting or noninteracting magnetic ions) cannot be the origin of this feature because their contribution would be strongly suppressed by a field of 2 T contrary to the experimental observations. The upturn in C_m/T , however, could come from a nuclear contribution to the specific heat due to (i) nuclear

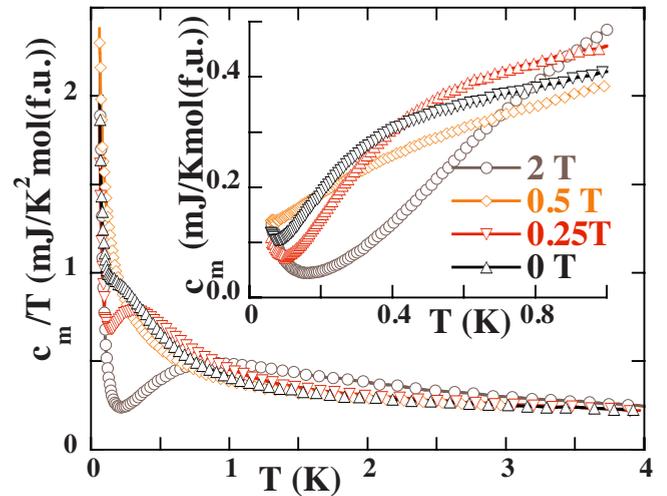


FIG. 8. (Color online) Magnetic part of the specific heat divided by temperature C_m/T versus T for different fields. Inset: C_m versus T below 1 K.

quadrupolar energy levels and (ii) nuclear Zeeman levels.

First let us roughly estimate the contribution from nuclear quadrupolar energy levels. Nuclei with spin I and quadrupolar moment eQ exposed to static electric-field gradients, where the largest component is denoted as eq , contribute to the specific heat (per mol of the considered nuclei):¹⁷ $C_N = D_Q \times T^{-2}$, with

$$\frac{D_Q}{R} = \frac{1}{80} \frac{(2I+2)(2I+3)}{2I(2I-1)} \left(\frac{e^2 q Q}{k_B} \right)^2, \quad (4)$$

where R is the universal gas constant, k_B is the Boltzmann constant, and e is the electron charge. The most promising candidates to provide a substantial contribution to the specific heat are the ^{51}V nuclei which have¹⁸ $I=7/2$, a large $Q = -5.2(\text{fm})^2$, and low point symmetry. From Eq. (4) with $D_Q = 4 \times 10^{-4}$ (J mole f.u. K^{-1}) it follows $eq \approx 4 \times 10^{23}$ V/m. This value is about 2 orders of magnitude too large compared with experimental NMR studies of other V compounds.¹⁹ Therefore, the nuclear quadrupolar levels seem to be improbable reason of our observed results.

Second we consider nuclear Zeeman levels. Assume a fraction f of magnetic V moments, which are at or near freezing. The direct contribution from these moments was already discarded previously. However, an indirect contribution via the hyperfine field coupling to the nuclei should also be taken into account. The nuclei are influenced by the on-site moment via the core polarization. This would result in a local field of the order of 100 T (per μ_B of onsite V moment).¹⁹ The magnetic nuclear contribution to C_N is $C_N = D_Z/T^2$, with

$$\frac{D_Z}{R} = 3f \frac{(2I+2)}{6I} \left(\frac{\gamma_N \hbar H_I}{k_B} \right)^2. \quad (5)$$

This takes into account that there are three V ions per formula unit and assumes that only a fraction f of V ions are magnetic. $\gamma_N = 7.045 \times 10^7 [\text{rad s}^{-1} \text{T}^{-1}]$ (Ref. 18) is the nuclear gyromagnetic ratio of ^{51}V and H_I is the local magnetic field. With $I=7/2$ and $H_I=100$ T this leads to $f=0.001$. We conclude that a tiny amount of “frozen” V moments acting on their nuclei via the hyperfine field would explain the observed $C_N(T)$ at low temperatures.

2. Decay of remanent magnetization

The dynamics of the V moments on a long-time scale at temperatures below T_f was probed by measuring the decay of the remanent magnetization $M_{\text{rem}} = M_0 - M_\infty$. We recall that in these experiments the sample is cooled to a given temperature $T < T_f$ in a fixed external magnetic field $H = 100$ Oe. After a waiting time of about 10h, H is turned to zero at $t=0$ and the time evolution of the magnetization M_t is monitored, keeping T fixed. The decay of $M_t - M_\infty$ does not follow a simple functional form and is extremely slow (Fig. 9) indicative of a spin-glass-like behavior.²⁰ Characteristic time scales are of the order of 10^4 s, which we define as the time where $M_t - M_\infty$ reaches a fraction $1/e$ of its initial value. As shown in the inset of Fig. 9 top, M_{rem} grows exponentially with decreasing temperature.

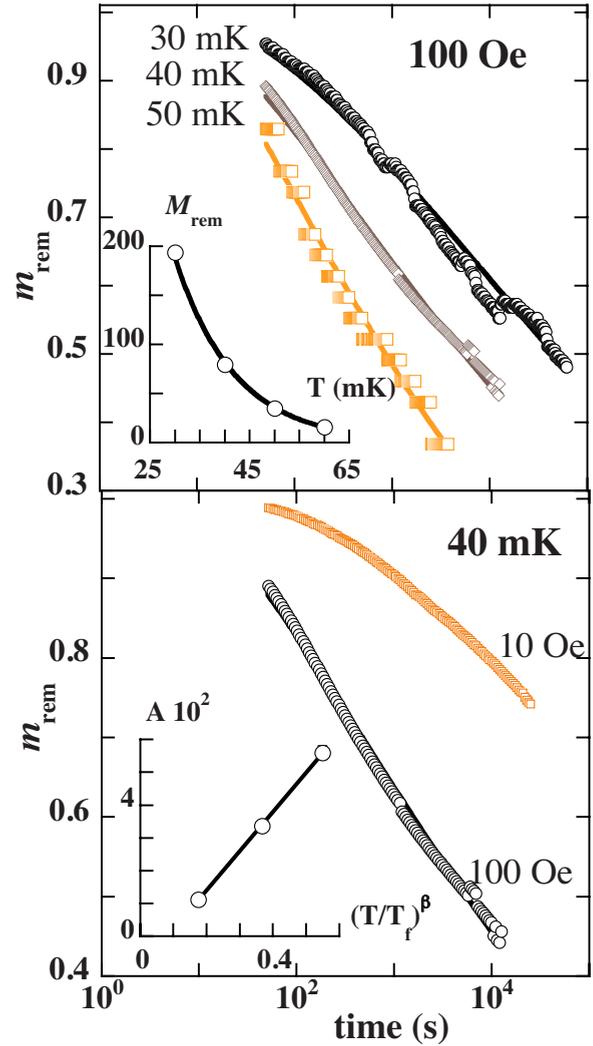


FIG. 9. (Color online) Temperature and frequency dependence of the normalized remanent magnetization $m_{\text{rem}} = \frac{M_t - M_\infty}{M_0 - M_\infty}$. Top: the same cooling field of 100 Oe has been used and measurement performed at different temperatures. Inset: M_{rem} (arb. units) versus T . Bottom: different cooling fields of 100 and 10 Oe have been used and measurement was performed at 40 mK. Inset: A versus T^β .

For different spin-glass systems the magnetization decays following different functional forms.²⁰ The functional form of $M_t - M_\infty$ is determined by the distribution of barriers that the system should overcome to reach the equilibrium. Our data are well characterized by the so-called “enhanced power law” given by^{21,22}

$$m_{\text{rem}} = \frac{M_t - M_\infty}{M_0 - M_\infty} = \exp\{-A[\ln(\omega t)]^\beta\}. \quad (6)$$

This functional form is rather general and it reduces, for instance, to a “logarithmic-type” decay for the particular case of $\beta \approx 1$, $A \ll 1$ and moderate values of t , which is not too large.

The underlying model assumes that as temperature decreases there is a spontaneous formation of clusters of correlated spins with nonzero total spin, which grow randomly

TABLE VI. Inter-ring and intra-ring hopping integrals in eV. The notation is the same as given in Ref. 5. The first and second rows correspond to the parameters obtained from the structural data of Ref. 1 and of the present work, respectively, by application of the NMTO-downfolding method. The first row agrees well with the parameters obtained from the projector method in Ref. 6. The next important hoppings are about 0.001 eV.

Intra-ring					Inter-ring			
t_1	t_2	t_3	t'_1	t'_2	$t_{1\perp}$	$t_{2\perp}$	$t'_{1\perp}$	$t'_{2\perp}$
-0.18	-0.15	-0.14	-0.13	-0.14	-0.03	-0.02	-0.02	-0.03
-0.15	-0.15	-0.16	-0.15	-0.15	-0.05	-0.03	-0.04	-0.05

and independently from each other, leaving a “fluid” of individual spins in between. To reach the thermal equilibrium a spin reorientation of these clusters must occur and barriers, which depend on the cluster volume V , must be overcome to reach thermal equilibrium. This yields a hierarchy of relaxation times and results in the enhanced power law for the decay of the magnetization.

The above form of the decay does not depend on the mechanism that drives the relaxation, which may be either quantum tunneling (QT) or thermal activation (TA). In addition the three parameters describing relaxation A , ω , β do not independently vary with temperature. For instance, the attempt frequency ω may be assumed T independent. In case of quantum tunneling the parameters A and β are also T independent, resulting in a temperature-independent relaxation. This is clearly not the case in our experiments (Fig. 9). In the case of thermal activation ω is T independent, but A and β are correlated; namely, $A \propto (T/T_f)^\beta$.²² To a good approximation, A versus T^β deduced from our fits (inset of Fig. 9 bottom) is a straight line. Therefore, our data suggest a classical type of spin-glass phenomena driven by thermal activation, even at the lowest temperatures.

Our observations are not consistent with the “superparamagnetic” picture describing the Mn_{12} ,²³ V_{15} ,²⁴ and Fe_{13} ,²⁵ cluster systems. There, a well-defined and unique magnetic barrier leads to a single relaxation time resulting in a single exponential decay.

IV. AB INITIO DFT CALCULATIONS

We have performed *ab initio* DFT calculations for the crystal structure determined in this work (see Table II) and applied the NMTO-based downfolding method²⁶ in order to obtain the effective vanadium-vanadium hopping matrix elements. This method derives a low-energy Hamiltonian by an energy selective downfolding process that integrates out the high-energy degrees of freedom. The low-energy Hamiltonian is then defined on the basis of effective orbitals constructed via the integration out process. This process takes into account the proper renormalization effect from the orbitals that are being downfolded. In the present case we integrate out all degrees of freedom other than the V d_{xy} orbitals. The real-space representation of the downfolded Hamiltonian $H = \sum t_{ij}(c_i^\dagger c_j + \text{H.c.})$ in the downfolded V d_{xy} basis gives the various hopping integrals t_{ij} between the effective orbitals.

We considered a crystal structure with 100% occupation of the Na positions. The analysis of the Na density of states

(DOS) contribution near the Fermi level shows that the effect of Na is almost negligible concerning the V hybridizations, and therefore significant changes in the electronic structure are not to be expected by reducing the Na content in the calculations. In Table VI we present the hopping integrals between the downfolded V d_{xy} orbitals. Variations in the hopping integral determination between the crystal structure proposed by Millet *et al.*¹⁵ and the present one are only observed in (i) the first and second intra-ring hopping parameters which are now almost identical, enforcing the frustration effects in this system, and (ii) the inter-ring hopping parameters are slightly larger than those obtained previously but still remain weaker than the intra-ring hopping parameters. The analysis of the orbital orientation in the second intra-ring and second inter-ring paths shows that even though distances and angles are similar for both cases, the inter-ring hybridizations are of $dd-\delta$ type explaining the weakness of the hopping integral in contrast to the mixed $dd-\sigma$ $dd-\pi$ nature of the intra-ring orbital hybridization. This feature is the same effect as shown in Fig. 3 of Ref. 5. Still, the exchange coupling constants between V_i and V_j , J_{ij} are very sensitive to ferromagnetic J_{ij}^{FM} and antiferromagnetic J_{ij}^{AFM} contributions, $J_{ij} = J_{ij}^{\text{FM}} + J_{ij}^{\text{AFM}}$. Calculation of $J_{ij}^{\text{AFM}} = 4t_{ij}^2/U$ due to the hybridization of V d_{xy} orbitals, where U is the onsite Coulomb repulsion and the hopping integrals are obtained from Table VI, shows that the antiferromagnetic intra-ring exchange interactions are a factor of 9 larger than the antiferromagnetic inter-ring exchange interactions. The ferromagnetic contributions J_{ij}^{FM} , which can be estimated following the Kugel-Khomskii model^{6,27} are—on the contrary—of the same order of magnitude for intra-ring and inter-ring couplings; so that in conclusion, the intra-ring interactions J_{intra} are dominantly antiferromagnetic, while the inter-ring interactions J_{inter} have important ferromagnetic components as pointed out also in Ref. 6.

V. SUMMARY

We presented experimental results which allow us to advance the understanding of the puzzling properties of the nanotube system $\text{Na}_{2-x}\text{V}_3\text{O}_7$. Revisited crystal structure belongs to the $P\bar{3}$ space group, while the apparent $\bar{3}m$ symmetry is due to twinning. The bucked ring of nine V atoms is the same as in the model of Millet *et al.*,¹ but the orientation of rings in the nanotube is different. The A'-B two-ring stacking unit is the basic structural building block.

We found off stoichiometry in the sodium composition that might introduce randomness into the exchange couplings or presence of up to 5.7% of V^{5+} defects.

The lattice compression with decreasing temperature is very anisotropic, with several anomalous points that correlate with previous NMR and optical measurements. With decreasing temperature the dominant tendency is a reduction in distances directed mostly along the tube and an increase in those having substantial xy component. No experimental support of a structural transition, spin dimerization, and Na rattling was found.

In the neutron-scattering experiments we observed a weak magnetic signal in the energy window of our experiment from -20 to 9 meV, implying that the majority of magnetic excitations are located above 20 meV. This is consistent with specific-heat data, which indicate that at most $1/9$ of the total magnetic entropy is released below 20 K, and with the susceptibility data, which monitor one order of magnitude reduction in the magnetic moment below 100 K. The magnetic scattering does not have a pronounced Q dependence expected for spin clusters and, therefore, does not support the models of dimer formation or presence of isolated nine-member rings. The data might be explained, however, by the existence of clusters with different size and couplings or/and significant intercluster couplings smearing out oscillations in $S(Q, \omega)$. We note, however, that these scenarios leave unexplained the prominent peak in the temperature dependence of the spin-lattice relaxation rate around 100 K.³ That peak was used as part of the evidence that spin dimerization may occur in that temperature range. But our present findings show that it is improbable.

Our present and previous experimental observations allow us to suggest the following picture: the $Na_{2-x}V_3O_7$ system consists of segmented $S=1/2$ spin nanotubes with strong AF intra-ring but also significant inter-ring couplings. We speculate that segmentation happens due to the defects occurring because of the deviations from ideal stoichiometry.

Though the dominant feature of susceptibility, the upturn at 100 K, can be well reproduced by an isolated nine-member ring with $J_1=-160$ K and $J_2=-90$ K (Fig. 10), other experimental observations on $Na_{2-x}V_3O_7$, i.e., neutron magnetic scattering, object a picture of isolated nine-member rings and require the presence of inter-ring couplings or more complex spin objects. The susceptibility is dominated by strong intracluster couplings and is not a sensitive measure of the frustrated moderate intercluster couplings. A similar situation happens in coupled systems of triangles²⁹ and tetrahedra.^{30,31}

Ab initio calculations point to larger intra-ring than inter-ring hopping integrals. The evaluation of the exchange integrals suggests strong antiferromagnetic intra-ring interactions and inter-ring interactions of moderate strength and partly of ferromagnetic nature.

As there is a small magnetic moment at low T , we infer that $Na_{2-x}V_3O_7$ belongs to the class of odd-legged $S=1/2$

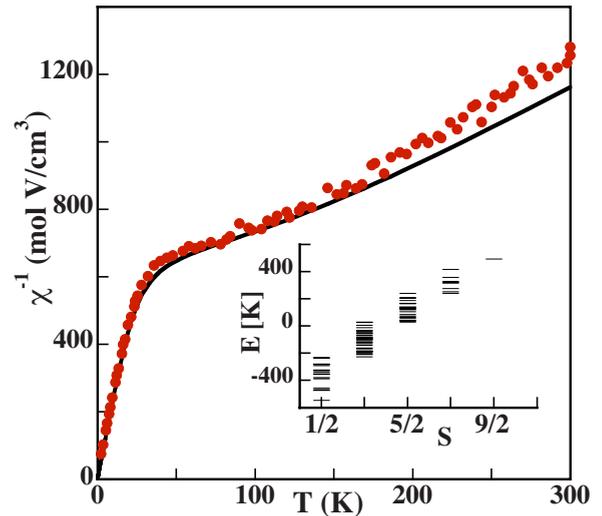


FIG. 10. (Color online) Temperature dependence of inverse susceptibility obtained from our experiment (symbols) and calculated (solid line) for an isolated nine-member ring with $J_1=-160$ K and $J_2=-90$ K. Inset: energy distribution of 512 levels of the ring as a function of the total spin S . Calculations were performed using the ALPS program (Ref. 28).

spin tubes with a gapless excitation spectrum and main spectral weight located at high T . As shown by Lüscher *et al.*³² frustrated inter-ring couplings might lead to the absence of a spin gap, which otherwise should be present in odd-legged tubes. A single-crystal inelastic neutron-scattering experiment is needed to verify our hypothesis, to obtain the inter-ring couplings and to explain the low-temperature features at 0.4 and 5 K in specific heat.

The basic question of whether the ground state is magnetic or nonmagnetic still cannot be answered unambiguously. The origin of the 76 mK anomaly and of the observed slow dynamics in the mK range is not immediately evident. Our data strongly suggest a classical “spin-freezing-type” phenomenon. But, given the small amount of spins involved (on the order of 0.1%) it is difficult to ascertain whether this behavior is intrinsic to the ideal nanotube or it is induced by segmentation of nanotubes.

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